

LL.D./ D.Sc./ D.Litt. Ordinance 2022



**MJP Rohilkhand University**

**Pilibhit Bypass Road, Bareilly-243006,**

**Uttar Pradesh, India.**

# **Mahatma Jyotiba Phule Rohilkhand University, Bareilly**

## **The Degree of Laws (LL. D.) ,Doctor of Science (D. Sc. ) , and Doctor of Literature (D. Litt.) Ordinances-2022**

### **1. Introduction**

Short title, application and commencement:

1.1 This ordinance shall be called the “**Doctor of Literature (D. Litt.), Doctor of Science (D. Sc.), and Doctor of Laws (LL. D.) – Ordinance 2022 of Mahatma Jyotiba Phule Rohilkhand University, Bareilly**”. It shall come into force from the date of approval of the competent authority and notified by the Mahatma Jyotiba Phule Rohilkhand University.

1.2 The Mahatma Jyotiba Phule Rohilkhand University (hereinafter referred to as University) would consider the admission for DLit/ DSc/ LLD programme in the Faculties and Institutes established as per the Statutes of the University.

1.2 The D. Sc., D. Litt., and LL. D. Degrees are the Apex Post-Doctoral Degree and awarded for work that makes an original, substantial and distinguished contribution to knowledge in a field which the faculty is concerned. Work submitted for the degree normally comprises publications that have appeared in the form of books (international publishers of repute) or research papers in scholarly journals (of international impact) that are then presented in the form of a thesis. The degree will give the applicant authoritative standing in that field and the right to general of this standing by scholars in the field.

1.3 The higher Post-Doctoral Programme leading to the degrees of D. Sc/ D. Litt./ LL. D. shall be offered in the following Faculties/Subjects and inter-disciplinary Faculties/Subjects of the University :

- a. The University shall award D. Sc. Degree in the disciplines of Science, Engineering, Technology, Medicine, Ayurveda, Agriculture Science and other related areas covered under the Faculty of Science and Faculty of Engineering and Technology.
- b. The University shall award LL. D. in the discipline of Law and other related areas covered under the Faculty of Legal Studies.
- c. The university shall award D. Litt. degree in the disciplines of Business, Humanities, Social Sciences, Education, Arts, Commerce and other related areas covered under the Faculty of Arts and Faculty of Commerce .

1.4 Any eligible and duly admitted candidate can pursue the D. Sc/ D. Litt./ LL. D. degree along with his/her regular full time/ part time / contractual /adhoc type of job /service / assignment For in-service candidate. No objection Certificate is required from his/her present employer.

## **2.Procedure of admission:**

2.1 There is no fix number of available seats for each subject for D. Sc/ D. Litt./ LL. D. programme in the University .

2.2 The University shall notify for D. Sc/ D. Litt./ LL. D. admission announcement on the University website or by an advertisement in the leading newspapers or on electronic media .

2.3 The candidates seeking admission to D. Sc/ D. Litt./ LL. D. programme of the University shall have to fill up the prescribed admission form designed by the University time to time and submit the same within the prescribed date specified in the admission announcement.

2.4 Those that have applied and qualified in the eligibility shall have to appear before DoR for personal Interview/Viva- Voce (PI/VV) at the University , as per the scheduled and place specified by the University.

2.5 Only highly potential candidates shall be admitted to the D. Sc/ D. Litt./ LL. D . programme based on the merit position of the eligible candidates. Merit position shall be decided after completion of the entire process Personal Interview/ Viva- Voce (PI/VV) .

2.6 The admission process shall be conducted once in a year

2.7 The Personal Interview /Viva-Voce (PI/VV) of 50 marks of eligible candidates shall be conducted separately for each faculty by as per Ph.D. ordinance 2021 .

2.8 The interview/viva voce shall also consider the following aspects, viz. whether :

- (i) The candidate possesses the competence for the proposed research;
- (ii) The research work can be suitably undertaken at the University/ Institution;
- (iii) The proposed area of research can contribute to new/additional knowledge;

2.9 At the time of PI/VV the candidates are expected to discuss their research interest/area/content before the RDC.

2.10 Candidate who is applying for D. Sc/ D. Litt./ LL. D. in multi/inter/intra disciplinary subject has to appear and pass PI/VV in the subject for which he/she wants to take admission for D. Sc/ D. Litt./ LL. D.

2.11 The passing standard in PI/VV is 55% for all categories and all faculties.

## **3.Eligibility for admission to D. Sc/ D. Litt./ LL. D. programme**

3.1 As D. Sc/ D. Litt./ LL. D. Degree is the highest Post-Doctoral degree of the University , a candidate seeking admission to D. Sc/ D. Litt./ LL. D. programme of the University, must have pursued outstanding research in the concerned discipline and obtained the following minimum qualifications required for admission :

- (i) Must have obtained a Ph.D. or equivalent degree from this University or from any other UGC recognized University of the country or from a foreign University of standing in the concerned discipline at least five years prior to the date of application.
- (ii) Must have done post-doctoral research work, and must have made significant contribution to research in the concerned discipline as evidenced by research publications. He/She must have published 10(Ten) research papers in SCI journal /UGC Care /AHCI. Indexed journals as author, a corresponding author or a co-author after completing his/her Ph.D. study.

OR

Must have done post-doctoral research work, and must have made significant contribution to research in the concerned discipline i.e. Science as evidenced 5 (five) patents granted in relevant discipline after completion his/her Ph.D. study.

OR

Must have done post-doctoral research work, and must have made significant contribution to research in the concerned discipline as evidenced by successfully completed 2 (Two) national/international major research projects for Rs.30 lakh and above as a principal investigator and/ or as a coordinator.

OR

Must have done post-doctoral research work, must have made significant contribution to research in the concerned discipline as evidenced guided 5 (five) Ph.D. scholars in relevant discipline and his/her research work and efforts should have national and international recognition and have made a distinct contribution to the society and advancement of knowledge . Must have published 10 (ten) research publications in UGC CARE/ 5 (five ) papers in SCI/AHCI, indexed journals on his/her credit after completing his/her Ph.D. study or by having published 10 (ten) books/monographs with internationally reputed publishers in relevant discipline after completing his/her Ph.D. study

#### **4.Multi/Intra / Inter disciplinary Post- Doctoral Research:**

4.1 Multi/Intra / Inter disciplinary Post- Doctoral Research in Science / Engineering/ Medical/ Paramedical/ Arts/ Humanities/ Social Sciences/ Education/ Law/ Linguistics etc. shall be encouraged.

4.2 A candidate is expected to do independent post –doctoral research work. However upon the request of the candidate DoR, may provide an Advisor/Co-Advisor from this University and other Universities .

4.3 If Advisor/Co-Advisor appointed then DoR shall finalize and communicate the name of the Advisor and Co-Advisor within a month of admission of the candidate.

4.5 The workload of D.Sc./D.Litt./LL.D advisor shall be determined on the basis of the policy formulated by the university or UGC from time to time.

## **5. Registration in D.Sc./D.Litt./LL.D programme**

5.1 As soon as the candidate are admitted to the D.Sc. / D.Litt. / LL.D programme, they shall be provisionally registered for the programme. After the title clearance by DoR , their D.Sc./D.Litt./LL.D registration shall be confirmed. This process shall be completed within the ONE semester of provisional registration.

5.2 The candidate shall be required to finalize and submit a brief research proposal of about 1000 words. containing the title, significance and scope of the topic, rationale , justification, national-international status. Theory and research methodology/ techniques involved, references bibliography, place of research etc.

## **6.Tenure of the programme :**

6.1 The duration of the programme shall be from the date of registration, i.e., when the candidate is admitted to the D.Sc. / D.Litt. / LL.D programme after the payment of fee.

6.2 Minimum duration of the programme is Six semesters/terms (three years) after which the D.Sc. / D.Litt. / LL.D thesis can be submitted.

6.3 The maximum duration of the D.Sc. / D.Litt. / LL.D programme shallbe for a maximum period of six years .

6.4 The women candidate and persons with Disability (more than 40% disability) may be allowed a relaxation of one years for D.Sc. / D.Litt. / LL.D in the maximum duration. In addition, the women candidate may be provided maternity Leave/Child Care leave once in the entire duration of D.Sc. / D.Litt. / LL.D for up to 240 days as per U.P govt. Rule. U.G.C. rule.

In case the scholar fails to submit the thesis within this stipulate period he/she shall have to get re-registered.

## **7.Language of the thesis:**

7.1 The candidate should submit the thesis D.Sc. / D.Litt. / thesis in English except when., it is related to an Indian language or other foreign language, where the thesis should be in the language concerned.

7.1 In case of Indian language or other foreign language a scholar is allowed to submit the thesis in the language concerned. Before submitting the thesis in an Indian language or other foreign language, it is must for he scholar to submit the brief summary/important findings of the thesis in English (15 to 20 pages).

## **8. Structure of fees for D.Sc. / D.Litt. / LL.D Programme :**

8.1 For Grant-in-Aid Programme:

- i. Admission form & Processing fees : Indian scholars –

- ii. 5000/- NRI\*/Foreign\*/Scholars: RS 10000/- ( or as notified by the University, time to time)
- iii. Admission and registration fees (to be paid once ):  
Indian Scholars RS 12000/- (Re-registration ) NRI\* 500 US \$.  
Foreign \* scholars RS 10000/- ( Re-registration : RS 20000/-).
- iv. Semester/Term fee ( to be paid per semester ) : Indian Scholars/NRI\*/Foreign\* Scholars: RS 50000/- .
- v. Evaluation fee( To be paid at the time of submission of the thesis): Indian Scholars: RS 20000/-.

8.2 There Directorate of Research (DoR) along with the RDC shall co-ordinate all the decisions pertaining to Post-Doctoral research and D.Sc. / D.Litt. / LL.D programme.

8.3 All D.Sc. / D.Litt. / LL.D scholars have to pay the prescribed fee for 02 terms every year, till the date of submission of the D.Sc. / D.Litt. / LL.D, thesis.

8.4 The candidate to be admitted to the D.Sc. / D.Litt. / LL.D programme shall have pay the prescribed fees as stated above or as modified by the University from time to time. The fees once paid shall not be adjusted or refunded under any circumstances even, if the candidate cancels the admission.

8.5 Any revision of fee shall be payable by the D.Sc. / D.Litt. / LL.D scholar during the entire period of the D.Sc. / D.Litt. / LL.D degree.

8.6 A candidate may be permitted to pursue post-doctoral research for the D.Sc./ D.Litt./ LL. D. degree in University or its affiliated Colleges Approved by University.

Or

National Research Institutes under ICMR . CSIR, ICSSR, IIA, NGRI, DRDO, DAE, DST, DBT, etc., and all Government of U.P./India Research Institutes/ Central University/ establishments of National importance deemed to have been recognized as Research Centers by University.

Or

Foreign Universities and R & D Laboratories duly recognized by the University or with which MOU

exist . With regard to the D.Sc./ D.Litt./ LL. D. Programme under independent registrations, candidates may be permitted to pursue research from their place of work/residence where it is not necessarily located in the territorial jurisdiction of the University.

## **9. Cancellation of Registration**

9.1 A candidate can cancel his/her registration by submitting formal application duly forwarded and recommended by concern advisor/dean of the faculty,

The D.Sc./ D.Litt./ LL. D. registration of a scholar is liable to be cancelled for any of the following reasons :

- (i) Violation of discipline, conduct and rules of the University.
- (ii) Non-submission of the D.Sc./ D.Litt./ LL. D. thesis within the stipulated period.
- (iii) Non-conformity with the rules/regulations/ordinances of the D.Sc./D.Litt./LL. D. programme
- (iv) Giving false information at the time of application/admission/registration.

## **10. Evaluation of Progress**

10.1 The registered research scholar has to submit progress reports after every semester/term. The report shall include an elaborate description of the reading, writing, data collection or any other work done in relation to the research.

10.2 An independent scholar has to certify the report and mention in unambiguous terms whether the progress is satisfactory or not and whether the work done during the period under consideration is relevant and adequate or not. The work of the candidate shall comply with the following conditions to merit the award of the degree:

- (i) It must be a substantial work making a distinct addition to learning in the concerned subject of the discipline.
- (ii) It must be original in the sense of opening up new fields of research, or of making a marked advancement on the results of previous investigations, or of giving a new interpretation of the facts already known.
- (iii) It must be a scholarly work of high quality
- (iv) It must be the work published in the form of research papers in in SCI AHCE (03) listed journals of repute and/ or published in the form of books/ monographs, chapter contribution to Books /Monographs etc., with internationally reputed publishers, after the admission in the programme.
- (v) It must be the work done after obtaining the Ph. D. degree as well as after the admission in the programme
- (vi) It must be the work done during the tenure of the D.Sc./D.Litt./LLD.
- (vii) It must not be the work, which has been previously submitted for a degree or a diploma in this or in any other University.
- (viii) It must not be the work under consideration for a degree or a diploma in this or in any other University.

10.3 The D.Sc./ D.Litt./ LL. D. programme culminates with the submission of a thesis of a substantial work of original research carried out by the scholar. This research work is expected to be potentially fit for publication and should stand peer review.

10.4 The D.Sc./ D.Litt./ LL D. scholar shall publish a minimum of 03 research papers as a first author/ Corresponding author/ co-author in a SCI/AHCI listed peer-reviewed journal having Thomson Reuter, Impact Factor before the submission of the thesis for adjudication and produce evidence for the same in the form of acceptance letter from the publisher or the reprint/copies of the publication.

10.5 The D.Sc./D.Litt./LL. D. scholar shall have attended and presented at least 02 papers or 02 invited talks on his/her research work in national/international level seminar/ conference/ symposia colloquia, etc. before the submission of the thesis. The Required publications and presentations shall be only valid for the D. Sc./ D. Litt./ LL. D. with the citation of M.J.P. Rohilkhand University:

10.6 Before submitting the thesis scholar has to produce anti-plagiarism clearance certificate as per the anti- plagiarism and academic dishonesty policy of the MJP rohilkhand university for the content of the thesis

10.7 The process of the submission of thesis shall be as follows:

(i)Final Submission - 03 hard bound copies + 01 soft copy (CD-pdf/word)

## **12.Submission of the Thesis**

12.1 The topic of D.Litt./ D.Sc./ LL.D. thesis may be related to his/her doctoral work or an interdisciplinary area of research but the thesis/dissertation submitted for Ph.D. or any other previous Degree shall not form part of the D.Litt./ D.Sc./ LL.D. thesis.

12.2 Prior to submission of the thesis, the scholar has to make a pre-D Sc./D.Lit/LL.D presentation in the DOR that may be open to all faculty members and research scholar for the feedback and comments which may be suitably incorporated, if necessary. The changes made to be certified by the advisor/co-advisor, if any.

## **13.Appointment of Examiners**

13.1 The procedure for the appointment of examiners shall be undertaken soon after the scholar submits his/her thesis.

13.2 The thesis shall be evaluated by three external examiners for the all faculty, i.e 01 examiner from outside the state.

13.3 The Dean faculty/ Director, Directorate of research consulting/ Dean Faculty prepared a list of 09 examiners (03 from within the state ,03 from outside the state OR/and 03 from outside the country), for each such submission. Hon vice chancellor shall appoint 03 examiners. As per the above norms, from the above list

13.4 The external examiners are expected to send the evaluation report within 01 month from the date of receipt of the thesis, In case of undue delay by the examiner to send the report, the university may, with the approval of Hon. VC, send the thesis to another external examiner.



## **14 .Evaluation of the Thesis**

14.1 The university shall complete the process of evaluation of the thesis including the viva voce within the period of 03 month from the date of submission of the thesis, unless under exceptional/unfavourable condition. To expedite this process of evaluation pdf version. (in electronic form, Soft copy) of the thesis may be send to the external examiner through email.

14.2 The evaluation shall be done by 03 external examiner independently. Where there is an advisor/co-advisor any, the advisor and co advisor shall prepare an evaluation report and submit to the university.

14.3 The Examiner who evaluate the thesis shall report on the merit of the Scholars thesis for the D.sc/D.Lit/LL.B give me in one of the following Terms.

- A. The thesis to be accepted for the award of D.sc/ D.Lit/LL.D Degree in the present form.
- B. The Thesis to be accepted for the award of D.Sc/D.Lit/LL.D degree after minor Correction revision.
- C. The D.Sc./D.Lit/LL.D thesis is revised and resubmitted for evaluation.
- D. The D.sc./D.Lit/LL.D thesis is rejected.

14.4 The format of the examiners adjudication report is to be as prescribed by the university. which shall be send to every examiner while sending the thesis communication and sending of the thesis nad receiving of reports through email attachment fax shall be preferable and remain valid to expedite the procedure.

14.5 Opinion of Examiner on evaluation of the thesis:

- i. If the thesis is commended (Approved) by all the external examiners, each examiner may seek clarification/question, if any, to be answered at the time of Viva voce.
- ii. In case all the external examiners have not commended the thesis (not considered the thesis acceptable for the D.Sc/D.Lit/LL.D. Degree), then the thesis shall be rejected and the registration be cancelled. However , Hon'ble VC in request of DoR may reconsider the merits of the thesis for re evaluation by appointing other examiner as per the norms of appointment of examiners.
- iii. In Case, two of the external examiners have not commended the thesis, then the thesis shall be again referred to two other external examiners to be appointed by VC, from within the state or outside the state/ India as the case may be. If these examiners commend the thesis. The scholar shall be allowed to appear for the Viva voce. If these Examiners do not commend the thesis, it shall be rejected and the registration be cancelled
- iv. In case , one of the external examiner has not commended the thesis, then the thesis shall be again referred to another external examiner to be appointed by Hon. Vc, from within the state, outside the state.
- v. If the examiner/Examiners insist on any correction/revision to be made in the thesis, the same shall be made by the scholar before the open Viva Voce and certified by the scholar (and advisor, if any).

- vi. If any Examiner/Examiners explicitly suggest/s the need for revision and re-submission of the thesis for further examination, then the revised thesis, duly certified by the scholar (and advisor, if any) shall be sent to the same examiner/s for further evaluation.
- vii. Where the scholar has been asked to revise/resubmit the thesis, the same shall be done within the shortest possible period and in any case not later than 01 year from the date of the communication regarding the same by the university.
- viii. In case the candidate fails to submit the revised thesis within 01 year, the process of thesis evaluation gets cancelled. Then, the candidate shall submit the thesis again, along with the open Viva voce examination.

14.6 On receipt of satisfactory evaluation reports, either in hard copy/ e-mail/ fax/ any e-resource. The D.sc/D.Lit/LL.D scholar shall undergo for an open Viva Voce Examination, where he/she shall defend the research work in the thesis at the DoR.

### **15. Open Viva Voce Examination.**

15.1 The maximum time limit for conducting open Viva voce shall be 02 months from the date of receipt of favorable evaluation reports from the examiners.

15.2 The open Viva Voce shall be held on any working day of the university. In case the open Viva voce, the examiners are not in a position to travel to the university. An open Viva voce/defence with the participation of the external examiner, be arranged with the permission of Hon. VC.

15.3 If the scholar passes the open Viva Voce/defence examination, the open viva voce examiner and the advisor (if any) DoR shall consolidate the recommendation and submit the same to the university, as per the format prescribed by the university, for the award of the degree based on

1. The reports of the examiners who adjudicated the thesis and
2. The evaluation of the scholar's performance in the open Viva Voce examination

15.4 The scholar who is successful in the open viva voce examination shall be declared to have qualified for the D.sc/D.Lit/LL.D degree of the MJPRohilkhand University.

15.5 In the event of all the external examiners being unavailable for the open viva voce, a forth (external) examiners from within India shall be appointed by the VC, in consultation with the PDRAC to conduct the open Viva Voce.

### **16. Award of Degree:**

16.1 A certificate to this effect shall be issued by the Registrar to the Successful Scholar.

### **17 Deposit of Thesis**

17.1 Following the Successful completion of the evaluation process and announcement of the award of the D.sc/D.Lit/LL.D by the university, the scholar shall submit, through the university a soft copy of the final version of D.sc/D.Lit/LL.D thesis

One hard /soft copy of the thesis shall be placed in the university library and one hard/soft copy shall be sent to national library.

### **18 .Recognition of D.sc/D.Lit/LL.D advisor**

18.1 Any regular faculty member of department/college of the MJP Rohilkhand University or from other Universities who is holding D.Sc/D.Lit/LL.D degree may be recognized as Post-doctoral research advisor for D.sc/D.Lit/LL.D degree

### **19.Composition of Post- Doctoral Research Committee:**

18.1 There shall be Post- Doctoral research committee(s) for recommendation to admission, registration, research advice, and monitoring the research progress and programme at the university level for each faculty.

19.2 Post-doctoral research Committee:

A Post-Doctoral Research Committee constituted by the Vice Chancellor shall deal with all matters connected with the D.Lit/D.Se/LL.D programme of the University in accordance with these Ordinances.

19.3 The constitution of the Post-Doctoral Research Committee shall be as follows:

- a) Vice Chancellor or Nominee Chairperson
- b) Dean of the concerned Faculty- Member
- c) Concerned Head of the Department/Director of the Institute-Member
- d) Two Professors from the relevant discipline, to be nominated by the Vice Chancellor, out of whom one will act as the Advisor(if Any) of the concerned candidate-Members

18.4 The committee shall evaluate the quality of the publications at the time of scrutiny and recommend the eligible candidates for admission

19.4 A post- doctoral research committee at the university shall be constituted as:

This Committee shall have the following responsibilities:

- a. Take decision on the list of eligible/ineligible candidates
- b. Take personal Interview/Viva-Voce (PI/VV) of those that have qualified.
- c. To review the post- doctoral research proposal and approve the title and topic of post-doctoral research.
- d. To guide the research scholar to develop the study design and methodology of post doctoral research and identify the course(s) that he/she may have to do.
- e. To periodically review and assist in the progress of the post- doctoral research work of the research scholar, preferably once in a term/semester.
- f. To scrutinize the application of postdoctoral advisor and prepare and prepare list of eligible and ineligible applicant for final approval of the VC.

## **20. Miscellaneous**

**20.1** Any issue regarding the interpretation of this ordinance shall be referred to the ViceChancellor, whose decision, in his capacity as the Chairman, Academic Council, shall be final and binding on all parties. The Vice-Chancellor may constitute necessary committees pertaining to any specific issue arising out of the present ordinance to resolve the issue.