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# In Situ Evolution of Secondary Metallic Phases in Off-Stoichiometric ZrNiSn for Enhanced Thermoelectric Performance

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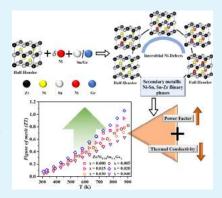


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6 **ABSTRACT**: The full-Heusler (FH) inclusions in the half-Heusler (HH) matrix are a 7 well-studied approach to reduce the lattice thermal conductivity of ZrNiSn HH alloy. 8 However, excess Ni in ZrNiSn may lead to the in situ formation of FH and/or HH alloys 9 with interstitial Ni defects. The excess Ni develops intermediate electronic states in the 10 band gap of ZrNiSn and also generates defects to scatter phonons, thus providing 11 additional control to tailor electronic and phonon transport properties synergistically. In 12 this work, we present the implication of isoelectronic Ge-doping and excess Ni on the 13 thermoelectric transport of ZrNiSn. The synthesized  $ZrNi_{1.04}Sn_{1-x}Ge_x$  (x = 0-0.04) 14 samples were prepared by arc-melting and spark plasma sintering and were extensively 15 probed for microstructural analysis. The in situ evolution of minor secondary phases, i.e., 16 FH, Ni–Sn, and Sn–Zr, primarily observed post sintering resulted in simultaneous 17 optimization of the electrical power factor and lattice thermal conductivity. A ZT of  $\sim$ 1.06 18 at  $\sim$ 873 K was attained, which is among the highest for Hf-free ZrNiSn-based HH alloys.



19 Additionally, ab initio calculations based on density functional theory (DFT) were performed to provide comparative insights into 20 experimentally measured properties and understand underlying physics. Further, mechanical properties were experimentally 21 extracted to determine the usability of synthesized alloys for device fabrication.

22 KEYWORDS: thermoelectric, half-Heusler, off-stoichiometric, phonon dispersion, SPB model

#### 1. INTRODUCTION

23 Thermoelectric (TE) technology offers the interconversion of 24 electrical and heat energy using solid-state devices. The TE 25 efficacy of materials is determined via the figure of merit,  $ZT=26\ S^2\sigma T/\kappa$ , where S is the Seebeck coefficient and  $\sigma$  is the 27 electrical conductivity, which both cumulatively express the 28 power factor,  $\alpha=S^2\sigma$ , while  $\kappa$  represents the thermal 29 conductivity. In the last decade, many efforts have been 30 devoted to developing materials with a high figure of merit. 31 However, the practical applications of these materials have 32 been limited due to the poor thermal stability, mechanical 33 strength, etc. 1

been limited due to the poor thermal stability, mechanical strength, etc. <sup>1</sup>

Ternary XYZ half-Heusler (HH) alloys have attained considerable interest due to their good electronic and mechanical performance, and these alloys are also thermally and chemically stable. <sup>1,2</sup> Here, X (Zr, Hf, Ti, etc.) and Y (Ni, Co, etc.) atoms are the transition or rare-earth metals; however, the Z (Sn, Sb, etc.) atom is the p-block element. <sup>3,4</sup> These HH alloys have a MgAgAs-type structure (space group F43m), and the Wyckoff positions occupied by the elements are as follows: X, 4a (0 0 0); Y, 4b (1/4 1/4 1/4); and Z, 4c (1/2 1/2 1/2); however, 4d (3/4 3/4 3/4) is vacant. <sup>3,4</sup> However, in  $XY_2Z$  full-Heusler (FH) alloys, the X and Z

elements occupy the 4a and 4c sites, respectively, and the Y  $_{45}$  element occupies the 4b as well as 4d sites completely, which  $_{46}$  forms a  $MnCu_2Al$ -type structure (space group  $Fm\overline{3}m$ ) with the  $_{47}$  X, Y, and Z atoms positioned at 4a (0 0 0), 8c (1/4 1/4 1/4),  $_{48}$  and 4b (1/2 1/2 1/2), respectively.  $_{49}$ 

Among HH alloys, ternary MNiSn (M = Hf, Zr, Ti)-based 50 materials have been widely explored as n-type thermoelectric  $_{51}$  materials for mid-temperature range applications. In general,  $_{52}$  Hf-containing MNiSn-based HH alloys have a lower thermal  $_{53}$  conductivity in comparison to Hf-free alloys. But Hf is  $_{54}$  relatively expensive and more toxic. Therefore, various  $_{55}$  strategies like doping,  $_{6-8}$  nanostructuring, nanoinclusion/  $_{56}$  nanocomposite,  $_{10,11}$  and so on have been adopted to reduce  $_{57}$  the thermal conductivity of Hf-free and half-Heusler alloys.  $_{58}$  Recently, the strategy of off-stoichiometry (Ni $_{1+\delta}$  (0 <  $\delta$  < 1)) 59

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60 in MNiSn has emerged as an effective way to reduce the 61 thermal conductivity with simultaneous enhancement in the 62 electronic transport properties of MNiSn-based HH al-63 loys. 10,12–15

The strategy of self-doping (off-stoichiometry) as  $Ni_{1+\delta}$  (0 < 65  $\delta$  < 1) in MNiSn has two aspects, either excess Ni completely 66 occupies the 4d site, which will induce the FH phase in the 67 HH matrix, and/or it will partly fill the 4d site, which will 68 induce interstitial Ni defects.

Do et al. 16 have predicted via ab initio calculations that 70 excess Ni exhibits an attractive interaction with each other and comes closer to form nanoclusters in the ZrNiSn-HH matrix. 72 Makongo et al. 14 have shown that FH nanoinclusion in the 73 bulk HH matrix, which simultaneously increased the Seebeck 74 coefficient and electrical conductivity, led to enhanced power 75 factor and also led to the reduction in the thermal conductivity 76 of Zr<sub>0.25</sub>Hf<sub>0.75</sub>NiSn-based materials. Further, Bhardwaj et al. 1 77 have shown  $HH(1-\delta)/FH(\delta)$  composites in the composition 78  $Zr_{0.7}Hf_{0.3}Ni_{1+\delta}Sn$  (0  $\leq \delta \leq 0.1$ ), and they have observed a significant enhancement in the power factor along with 80 reduced thermal conductivity owing to the wide range of 81 sizes of FH inclusions and have realized a significantly 82 improved ZT of  $\sim$ 0.96 at 773 K in  $Zr_{0.7}Hf_{0.3}Ni_{1.03}Sn$ . Chauhan 83 et al. 17 also have discussed the coexistence of the HH/FH 84 phase as well as Ni antisite defects in ZrNi<sub>1+ $\delta$ </sub>Sn for 0.03 <  $\delta$ 85 <1, and they have observed that the maximum reduction in the 86 lattice thermal conductivity of ZrNi<sub>1+ $\delta$ </sub>Sn for 0.03 $\leq \delta \leq$ 0.05 due 87 to the collective scattering via Ni antisite defects and also the 88 FH phase boundary. Furthermore, Chauhan et al. 12 have 89 studied the effect of an excess of Ni of up to 10 atom % in 90 ZrNiSn and observed that ultralow Ni doping results in FH 91 precipitates as well as Ni-induced defects in the ZrNiSn-HH 92 matrix. They have realized that carrier localization and energy 93 filtering aid in enhancing the power factor. However, the 94 reduction in thermal conductivity was realized due to the 95 scattering of phonons via Ni-induced antisite defects as well as 96 FH precipitates. Here, it may be noted that Romaka et al. 18 97 have not detected any FH phase up to  $\delta$  = 0.3 in ZrNi<sub>1+  $\delta$ </sub>Sn 98 synthesized at 1073 K. Recently, Li et al. 19 have not realized 99 the FH phase in  $ZrNi_{1+\delta}Sn$  up to  $\delta = 0.07$  and 0.13 synthesized 100 at 973 and 1173 K, respectively. However, they have observed 101 a slight increase in the lattice constant below  $\delta = 0.13$  in a 102 single HH phase and thus concluded that the excess Ni is 103 supposed to sit at the interstitial void in the HH alloys.

In addition to the FH phase, several other competing binary phases of Zr-Sn, Ni-Sn, Ni-Zr, and ternary phases of Zr-106 Ni–Sn have also been predicted by Yonggang et al.<sup>20</sup> via 107 theoretical calculations, which might grow as a secondary 108 phase during the synthesis of the ZrNiSn HH compound. Chai 109 et al.<sup>13</sup> have observed a small amount of Zr<sub>5</sub>Sn<sub>3</sub> as the 110 secondary phase in ZrNiSn HH. However, they have observed 111 the ZrNiSn-HH single phase with Heusler inclusion in the 112 composition ZrNi<sub>1.1</sub>Sn. Guzik et al.<sup>21</sup> have observed the two 113 HH phases in ZrNiSn, which were detected by high-resolution 114 synchrotron powder X-ray diffraction (XRD). However, these 115 were not observed in XRD and low-resolution synchrotron 116 XRD; additionally, the impurities of Sn and ZrO were also 117 traced. Also, they have observed the impurities of Sn and 118  $Zr_5Sn_4$  in addition to two HH phases in  $Hf_{0.5}Zr_{0.5}NiSn$ . Li et 119 al. 19 have done phase boundary mapping, and they also have 120 observed some impurity phases of Sn-Zr and Ni-Sn in the 121 ZrNiSn HH compound. The Ni<sub>3</sub>Sn<sub>4</sub> phase was detected by 122 Yang et al.<sup>22</sup> in ZrNiSn-HH, and they have also shown the

coexistence of Ni-interstitial and Zr/Sn atomic disorders in 123 ZrNiSn-based compound. Wang et al.  $^{23}$  have detected the 124 minority phases of  $Zr_SSn_3$  and  $ZrNi_2Sn$  in ZrNiSn. Sauerschnig 125 et al.  $^{24}$  have performed a detailed experimental and theoretical 126 study on the constitution of the Zr-Ni-Sn system and 127 provided a novel thermodynamic assessment of the ternary 128 Zr-Ni-Sn. Here, it is to be noted that careful analysis is 129 required to understand the structural aspect of the synthesized 130 ZrNiSn-based HH alloys. In a nutshell, it is observed that 131 several secondary phases have earlier been detected in the 132 synthesis of ZrNiSn-based HH alloys.

The coexistence of HH/FH/(interstitial Ni defects) works 134 in a way that FH inclusion is metallic, which improves 135 electronic transport, and interstitial Ni defects originate from 136 the intermediate bands inside the electronic band gap, and in 137 combination with the in situ formation of FH and interstitial 138 Ni defects in HH, it significantly improves electronic transport 139 properties. On the other hand, the miscibility gap of the HH 140 and FH phases provides grain boundaries, and Ni interstitials 141 contribute as point defects to scatter phonons. Collectively, all 142 scale hierarchical phonon scattering occurs, which reduces 143 thermal conductivity. 12 FH inclusion in the HH matrix is a 144 well-studied technique to improve the power factor and reduce 145 thermal conductivity. 10,12,14,15 On the other side, the doping 146 approach is also highly preferable for reducing thermal 147 conductivity. The atoms with different sizes and/or mass are 148 used as dopants, which cause the strain field fluctuation and/or 149 mass fluctuation phonon scattering. In ternary ZrNiSn, the 150 doping of Ti and/or Hf has been widely studied to reduce 151  $\kappa_1$ . In the way of developing Hf-free material, other 152 dopants like Ta,  $^6$  La,  $^7$  Si,  $^{29}$  Ge,  $^{8,29}$  Pd,  $^{25}$  Nb,  $^{30}$  etc. have also 153 been found effective for reducing thermal conductivity. In our 154 recent study, we have realized isoelectronic Ge as a potential 155 dopant at the Sn-site in ZrNiSn, the mass and size difference of 156 Sn/Ge cause mass and strain field fluctuation, which is 157 responsible for the scattering of phonons and thus leads to a 158 decrease of the lattice thermal conductivity.

In the earlier works, both the approaches  $Ni_{1+\delta}$  and Ge-  $_{160}$ doping have individually been seen to be effective for the 161 enhancement of TE performance.<sup>6-8,10,12,17</sup> Therefore, in the 162 present work, we have explored the TE properties of ZrNiSn 163 employing both approaches  $Ni_{1+\delta}$  and Ge-doping, simulta- 164 neously. Thus, we have synthesized  $ZrNi_{1.04}Sn_{1-x}Ge_x$  (x = 0 - 1650.04) alloys and characterized them for TE properties. As in 166 earlier studies, 12,17,31 a low amount of Ni excess has been 167 demonstrated to be effective; thus, we have fixed the excess of 168 Ni at 4.0 atom %. We have checked our samples' stoichiometry 169 and existing phases employing a laboratory-based-XRD, 170 synchrotron XRD, field-emission scanning electron microscope 171 (FESEM), energy-dispersive spectroscopy (EDS), and Elec- 172 tron Probe Micro Analyzer (EPMA). Further, the in situ-173 formed minor phases have been discussed. Furthermore, we 174 have also studied the ZrNiSn-HH, ZrNi<sub>2</sub>Sn-FH, ZrNi<sub>1.04</sub>Sn, 175 and Ge-doped ZrNi<sub>1.04</sub>Sn, compounds with the help of 176 theoretical calculations. The electronic band structure, density 177 of states, and electronic transport properties were predicted for 178 all of the alloys. In addition, the phonon band structure and the 179 lattice thermal conductivity were also theoretically calculated. 180 Further, mechanical properties like microhardness and fracture 181 toughness have also been experimentally extracted to ensure 182 that the enhanced TE performance is not at the cost of 183 mechanical robustness.

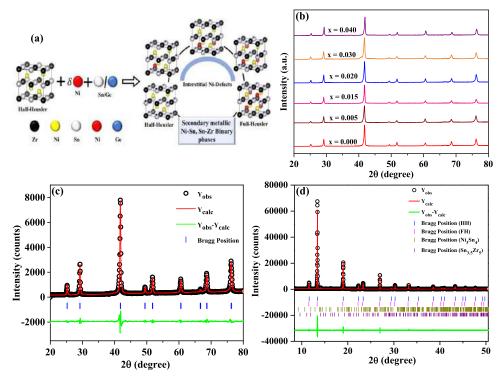


Figure 1. (a) Schematic representation of half-Heusler (HH), full-Heusler (FH), and disordered crystal structures. (b) X-ray diffraction patterns of  $ZrNi_{1.04}Sn_{1-x}Ge_x$  (x = 0-0.04). (c) Rietveld refined pattern of XRD spectra of  $ZrNi_{1.04}Sn$ . (d) Rietveld refinement of the synchrotron XRD (SXRD) pattern of  $ZrNi_{1.04}Sn_{0.98}Ge_{0.02}$ .

# 2. COMPUTATIONAL DETAILS

185 The theoretical calculations on proposed systems were 186 performed by employing density functional theory-based 187 code Vienna Ab initio Simulation Package (VASP)<sup>32</sup> in 188 which the projected augmented wave method is used for 189 calculations of pseudo-potentials of elements. In the form of 190 exchange and correlations functional, we adopted Perdew et 191 al. 33,34 form of generalized gradient approximation in all sets of 192 calculations. The use of gradient-corrected AM05 func-193 tional<sup>35-37</sup> in calculations predicts the precise lattice constant 194 and hence the phonon frequency. We first optimized the cell 195 basis vectors as well as atomic positions using conjugate 196 gradient approximation to limit the net residual Hellman-197 Feynman force  $\leq 1 \times 10^{-4} \text{ eV Å}^{-1}$  on atomic species. Further, 198 we have used the PHONOPY<sup>38</sup> code as a calculator for 199 deriving the phonon-related properties including lattice 200 thermal conductivity while considering the finite displacement method. 201

To expand plane-wave basis sets, we use a kinetic energy 202 203 cutoff equal to 550 eV, and the energy convergence for all sets of computations was set to  $10^{-6}$  eV. For exploring electronic properties, a  $\Gamma$ -centered 15  $\times$  15  $\times$  15 (4  $\times$  4  $\times$  4) k-points 206 mesh was considered for unit cell (supercell) calculations. The integration of the Brillouin zone was performed by the Gaussian method with a smearing width of 0.10 eV. To prepare alloys, we have generated a  $3 \times 3 \times 3$  size supercell 210 with the help of three atom unit cells, each of Zr, Ni, and Sn. The substitution of one Ni or Ge atom followed at the 212 respective site gives a doping concentration of 0.037 (in 213 fraction), which is quite close to the experimental value of 0.04. 214 For the calculations of lattice thermal conductivity, we 215 compress and expand the lattice by an amount of 0.02 Å 216 from its optimized structure.

#### 3. EXPERIMENTAL DETAILS

The samples of the composition  $ZrNi_{1.04}Sn_{1-x}Ge_x$  (x = 0-0.04) have 217 been synthesized by arc-melting, followed by spark plasma sintering 218 (SPS). The high-purity elements Zr, Ni, Sn, and Ge were taken in the 219 stoichiometric proportion and then melted employing an arc-melting 220 unit (MAM-1) under the argon atmosphere. The arc-melted ingots 221 were flipped and remelted several times to ensure homogeneity and 222 then consolidated employing SPS (Dr. Sinter, 725) at 1473 K under 223 ~50 MPa. The density of all the synthesized samples was measured by 224 utilizing the Archimedes principle. The phase purity of the 225 synthesized samples was checked using the X-ray diffraction technique 226 (XRD; Rigaku Miniflex II), and synchrotron X-ray diffraction 227 measurements were performed on an Angle Dispersive X-ray 228 diffraction beamline (BL-12) on Indus-2 synchrotron source, 229 Indore.<sup>39</sup> This beamline consists of a Si 111-based double crystal 230 Monochromator. Monochromatic energy of 17.413 keV was used to 231 record XRD data on a 6-circle diffractometer (Huber 5020) with a 232 Dectris make mythen detector. Further, the surface morphology and 233 elemental proportion were determined by field-emission scanning 234 electron microscopy (FESEM; Carl-Zeiss Merlin Compact and Zeiss 235 (Supra 40VP)) and EDS from Oxford Instruments. The Electron 236 Probe Micro Analyzer (EPMA: JXA-8230; JEOL) was further used to 237 investigate elemental composition.

The thermal diffusivity of the samples was determined employing a 239 laser flash analyzer (LFA; Linseis 1000) and Seebeck coefficient along 240 with resistivity measured using a ULVAC, ZEM 3. Specific heat was 241 determined utilizing a differential scanning calorimeter (DSC- 242 NETZSCH, 404 F3). The room temperature carrier concentration 243 and mobility were determined using the Hall effect measurement 244 system (HEMS, Nanomagnetics). The schematic crystal structure was 245 visualized using VESTA software.

To determine the microhardness and fracture toughness of all well-  $^{247}$  polished samples, Future Tech equipment (FM-e7) was used in  $^{248}$  conjunction with a square diamond pyramidal indenter ( $^{136}$ ), and  $^{249}$  the indentation load was fixed at  $^{4.9}$  N with a  $^{10}$  s dwell period. The  $^{250}$  length of the produced cracks due to the indentation was measured  $^{251}$  using an in-built microscope with a micrometer scale in the Vickers  $^{252}$ 

253 microhardness system to compute the fracture toughness of the 254 materials. We also estimated the standard deviation of the mechanical 255 properties after repeating the measurement four times.

#### 4. RESULTS AND DISCUSSION

256 The schematic representation of half-Heusler (HH), full-257 Heusler (FH), and disordered crystal structures is displayed in 258 Figure 1a. The X-ray diffraction (XRD) patterns of all of the 259 synthesized  $ZrNi_{1.04}Sn_{1-x}Ge_x$  (x = 0-0.04) samples are shown 260 in Figure 1b. All of the observed peaks suggest the formation of 261 the HH phase. To confirm the phase purity, the XRD patterns 262 were profile fitted for the HH phase employing the Rietveld 263 refinement method using the FullProf Suite package. The 264 fitting parameters are shown in Table 1, and the fitting of

Table 1. Lattice Parameter (a), Goodness-of-Fit Parameter ( $\chi^2$ ) of Rietveld Refinement, Room Temperature Carrier Concentration ( $n_{\rm H}$ ), and mobility ( $\mu_{\rm H}$ ) of ZrNi<sub>1.04</sub>Sn<sub>1-x</sub>Ge<sub>x</sub> (x=0-0.04)

	rietveld refi	nement	hall measurement			
$\boldsymbol{x}$	a (Å)	$\chi^2$	$n_{\rm H} \ (10^{19} \ {\rm cm}^{-3})$	$\mu_{\rm H} \ ({\rm cm^2 \ v^{-1} \ s^{-1}})$		
0	6.1158(3)	2.880	5.05	17.86		
0.005	6.1186(4)	3.731	6.98	14.81		
0.015	6.1134(3)	2.448	4.59	18.61		
0.02	6.118(2)	4.631	6.97	15.40		
0.03	6.1087(2)	4.723	7.08	14.64		
0.04	6.1133(1)	3.193	11.6	12.72		

265  $ZrNi_{1.04}Sn$  is given in Figure 1c. From Table 1, it is clear that 266 the variation in the lattice parameter is very small and does not 267 follow any pattern with increasing Ge-content. This kind of 268 behavior has been realized due to secondary phases. Here, it 269 may be noted that in Ni excess MNiSn (M = Hf, Ti, Zr)-based 270 samples, the FH phase has been observed previ-271 ously. Further, Yonggong et al. have predicted 272 some secondary phases of Ni-Sn, Zr-Sn, etc. Sometimes, 273 these FH and minor secondary phases are not detected in 274 laboratory-source-XRD and thus need better resolution.

For the compositional analysis of the existing secondary phases that were hard to detect in the laboratory-source-XRD to due to its detection limit, synchrotron XRD (SXRD) representative to its detection limit, synchrotron XRD (SXRD) representative sample represe

The surface morphology of the samples  $ZrNi_{1.04}Sn$  along with  $ZrNi_{1.04}Sn_{0.98}Ge_{0.02}$  have been investigated employing 290 FESEM. The FESEM micrograph of the fractured surface of 291  $ZrNi_{1.04}Sn$  is shown in Figure 2a, which suggests the formation

of a highly dense material. Furthermore, Figure 2b shows the 292 FESEM micrograph of the polished surface of ZrNi<sub>1.04</sub>Sn, 293 which also confirms the tight compaction of the grains and 294 exhibits an average grain size closely in the range of 10–40 nm. 295 Similarly, the ZrNi<sub>1.04</sub>Sn<sub>0.98</sub>Ge<sub>0.02</sub> sample was also studied, and 296 the FESEM micrograph of fractured (Figure 2c) and polished 297 surface (Figure 2d) supports the close packing in the material. 298

The elemental composition was studied using EDS analysis 299 for the representative sample  ${\rm ZrNi}_{\rm 1.04} Sn_{\rm 0.98} Ge_{\rm 0.02}$ , and the  $_{\rm 300}$ observed results are given in Figure 3. Figure 3b exhibits the 301 f3 overall EDS analysis of Figure 3a, which confirms the presence 302 of all of the constituent elements in the sample, and shows the 303 elemental proposition close to the HH phase with a small 304 amount of Ni excess, which supports the inclusion of 305 additionally added Ni in ZrNiSn. Further, the EDS analysis 306 of different regions marked as 1, 2, and 3 is shown in Figure 307 3c-e. The regions marked as 1 and 2 show the stoichiometry 308 close to FH alloys and the Zr-Sn phase of Sn<sub>3.5</sub>Zr<sub>5</sub>, 309 respectively. The region marked as 3 is the HH alloy with 310 some considerable excess Ni. As discussed above, in addition 311 to the HH, FH, and Sn-Zr phase (Sn<sub>3.5</sub>Zr<sub>5</sub>), the Ni-Sn 312 (Ni<sub>3</sub>Sn<sub>4</sub>) phase was also observed in SXRD, and a similar kind 313 of Ni-Sn (Ni<sub>3</sub>Sn<sub>4</sub>) phase was observed in Figure 3f-g.

Furthermore, we have performed the EPMA measurement 315 shown in Figure 4 on our sample  $ZrNi_{1.04}Sn_{0.97}Ge_{0.03}$ . In Figure 316 f4 4, the gray contrast shows the HH composition, whereas the 317 dark gray contrast reflects the FH phase. Further, the bright 318 gray contrast exhibits the Sn-Zr ( $Sn_{3.5}Zr_{5}$ ) phase with a slight 319 amount of Ni. This small amount of Ni in the Sn-Zr phase 320 might be the result of grain boundaries, similar to the small 321 amount of Zr that has been observed previously in the  $Zr_{5}$ 0 secondary phase grown in  $Zr_{5}Ni_{7}Sn_{8}$ 1. From the structural 323 analysis, which includes  $Zr_{5}$ 0,  $Zr_{5}$ 1 FeSEM along with  $Zr_{5}$ 2 and  $Zr_{5}$ 3 math  $Zr_{5}$ 4 and  $Zr_{5}$ 5 math  $Zr_{5}$ 6 mass appeared when an excess amount of  $Zr_{5}$ 7 mass added, and the secondary phases have also been observed 327 previously in MNiSn-based materials and also predicted 328 theoretically.  $Zr_{5}$ 1 minimals  $Zr_{5}$ 2 math  $Zr_{5}$ 3 minimals  $Zr_{5}$ 3 math  $Zr_{5}$ 4 math  $Zr_{5}$ 4 math  $Zr_{5}$ 6 math  $Zr_{5}$ 6 materials and also predicted 328 theoretically.

The calculated electronic band structure and projected 330 density of states (PDOS) of ZrNiSn, ZrNi<sub>2</sub>Sn, ZrNi<sub>1.04</sub>Sn, and 331 Ge-doped ZrNi<sub>1.04</sub>Sn are given in Figure 5. The band structure 332 fS of pristine ZrNiSn, as shown in Figure 5a, exhibits an indirect 333 energy band gap of 0.55 eV.<sup>20</sup> Its valence band maximum 334 (VBM) lies at the  $\Gamma$  point, while conduction band minimum 335 (CBM) lies at the X point of the Brillouin zone. To enhance 336 our understanding of the origin of these frontier states, we next 337 calculated its projected density of states (PDOS), illustrated in 338 Figure 5b, which confirms that Zr-4d orbitals are majorly 339 dominant at the edge of the valence band, while the 340 conduction band edge is formed by mixed states of mainly 341 Zr-4d and Ni-3d orbitals. However, in the energy range of -0.3 342 to -0.5 eV, Zr-4p, Ni-4p, and Ni-3d orbitals strongly hybridize 343 and are responsible for states in the vicinity of the VBM. 344 Before studying our defective system of excess Ni in ZrNiSn, 345 we attempted to understand the electronic properties of the 346 ZrNi<sub>2</sub>Sn-FH compound. Our calculated band structure and 347

Table 2. Rietveld Refined Parameters of SXRD of ZrNi<sub>1.04</sub>Sn<sub>0.98</sub>Ge<sub>0.02</sub>

				ZrNiSn (cubic)	ZrNi <sub>2</sub> Sn (cubic)	Ni <sub>3</sub> Sn <sub>4</sub> (monoclinic)			Sn <sub>3.5</sub> Zr <sub>5</sub> (hexagonal)		
$R_{\rm p}$	$R_{\rm wp}$	$R_{\rm e}$	$\chi^2$	a (Å)	<i>a</i> (Å)	a (Å)	b (Å)	c (Å)	(°)	a = b  (Å)	c (Å)
13.5	14.4	6.81	4.49	6.1053(1)	6.1327(3)	12.2273(1)	4.0543(1)	5.1927(1)	104.895	8.505(2)	5.804(2)

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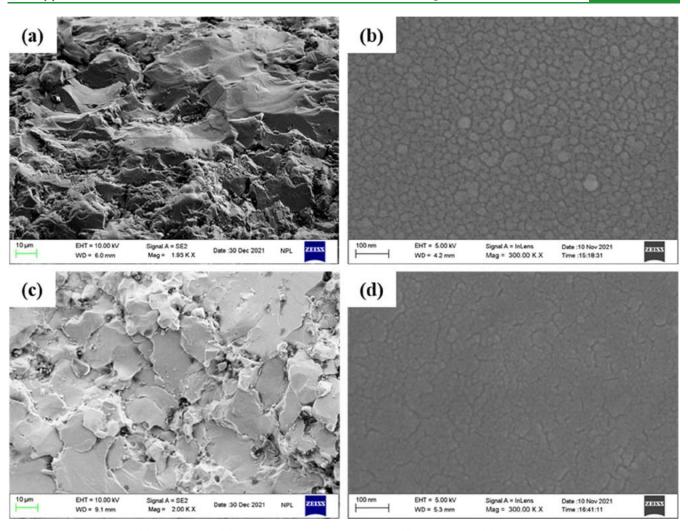


Figure 2. FESEM images of (a) fractured and (b) polished surfaces of ZrNi<sub>1.04</sub>Sn and (c) fractured and (d) polished surfaces of ZrNi<sub>1.04</sub>Sn<sub>0.98</sub>Ge<sub>0.02</sub>.

348 PDOS of ZrNi<sub>2</sub>Sn are given in Figure 5c,d, respectively. From 349 Figure 5c,d, it is clear that ZrNi<sub>2</sub>Sn is metallic in nature, as also 350 predicted previously. 43 It may occur due to the extra Ni atom 351 introduced to completely fill the 4d (3/4 3/4 3/4) site, which 352 introduces electronic states in the energy gap to make the 353 transition of semiconductor ZrNiSn to metallic ZrNi2Sn. 354 Further, the electronic structure of ZrNi<sub>1.04</sub>Sn was studied. To 355 capture the electronic properties of ZrNi<sub>1.04</sub>Sn, we calculated 356 its band structure and PDOS, as shown in Figure 5e,f, 357 respectively. From Figure 5e, it is clear that incorporating Ni 358 into ZrNiSn introduces new energy bands into forbidden energy regions that may be called intermediate bands (IBs). These IBs lie above (below) the VBM (CBM). This opens a new era of its application in optoelectronic devices because 362 such IBs may enhance the population of optical transitions. The IBs mainly originated from excess Ni-3d orbitals with a minor contribution of Zr-4d and Sn-5p orbitals (see Figure 5f). 365 A more detailed investigation about IBs is needed but is out of 366 the theme and scope of the present paper. Furthermore, it may deviate from the central theme of the work. Therefore, we 368 skipped its further investigations.

Greater electron mobility is expected by the transition from the lower valence band to IB to the conduction band, and hence improved electron mobility is expected. The incorporation of excess Ni enhanced the contribution of Sn-Sp orbitals at the valence band edge in comparison to appearing in

ZrNiSn; however, no drastic change was predicted at 374 conduction band edge states. Further, the inclusion of Ge 375 into the Ni-excess ZrNiSn system retains the electronic 376 structure similar to that predicted for ZrNi<sub>1.04</sub>Sn, as illustrated 377 in Figure 5g,h. Looking at the band structure of the Ni-excess 378 and Ge-doped system, it is clear that the maxima and minima 379 of frontier states mainly lie at the X point of the Brillouin zone; 380 thus, it would be interesting to calculate the effective mass for 381 electrons and holes. We have calculated the effective mass of 382 electrons and holes while moving along the  $L-\Gamma$  and  $X-\Gamma$  383 directions. Our calculated value of the effective mass of the 384 electrons (holes) of pristine ZrNiSn-HH comes to 0.65 (0.90) 385  $m_e$ . In the case of Ni-excess and Ge-doping, the effective mass 386 of the electrons (holes) is lower (higher) and is equal to 0.48 387 (4.30)  $m_e$  and 0.50 (3.93)  $m_e$ , respectively, than that of pristine 388 ZrNiSn-HH. The reduction in the electron effective mass 389 indicates that it is expected that the electrical conductivity 390 increases for Ni-excess and Ge-doped systems.

To further deepen our understanding of the TE performance 392 of the alloys, we next concentrate on the calculations of 393 electronic transport properties. The electrical conductivity 394 scaled by relaxation time  $(\sigma/\tau)$ , Seebeck coefficient, and power 395 factor scaled by relaxation time  $(S^2\sigma/\tau)$  of ZrNiSn, ZrNi<sub>1.04</sub>Sn, 396 and Ge-doped ZrNi<sub>1.04</sub>Sn at different temperatures are shown 397 in Figure 6. And it is clear from Figure 6a—c that  $\sigma/\tau$  increases 398 f6 with increasing temperature in the vicinity of the Fermi level. 399

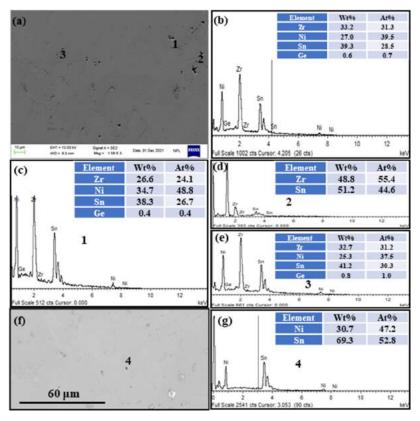


Figure 3. EDS analysis of ZrNi<sub>1,04</sub>Sn<sub>0,98</sub>Ge<sub>0,02</sub> (a) FESEM image. (b) EDS analysis of the image shown in (a). (c-e) EDS analysis of the marked regions 1, 2, and 3. (f) FESEM image. (g) EDS analysis of marked region 4.

1	4 000			Atomic ratio					
	<b>阿斯斯</b>	* .		Region	Zr	Ni	Sn	Ge	
	5		6	1	31.9233	35.893	31.8025	0.3812	
-		FH	1	2	30.6101	36.736	32.46	0.194	
		7 7 8 8	Marie San	3	24.5151	48.439	26.4831	0.5628	
	5		4	4	51,7515	12.2025	33.1563	2.8896	
		1 0	2.	5	28.5009	37.024	33.3584	1.1167	
	9,35	2500	Sn-Zr phase	6	29.1321	36.5488	33.2162	1.103	
		8, "/		7	28.3319	37.3727	33.2662	1.0293	
	1000	4 24	11050	8	53.2474	8.5058	35.6162	2.6306	
			A CO.	9	29.0618	36.4567	33.3554	1.1261	
	4	5 1 3	effice.	10	50.5923	8,8199	35.5685	5.0194	
	12	76	13	11	22.4727	47.6553	28.9036	0.9685	
į	X 500	20.0XV COMPO	10µm JMOL 11/24/2020 NOR NO 11.1mm 10:49:25	12	50.8746	8.9554	37.2875	2.8825	

Figure 4. Electron Probe Micro Analyzer (EPMA) image and the atomic ratio of constituent elements of ZrNi<sub>1.04</sub>Sn<sub>0.97</sub>Ge<sub>0.03</sub>.

400 Further, the enhancement in  $\sigma/\tau$  is realized in ZrNi<sub>1.04</sub>Sn 401 compared to that of ZrNiSn in the entire temperature in the 402 vicinity of the Fermi level, and this enhancement may be 403 attributed to the appearance of intermediate bands in the 404 energy band gap owing to the excess Ni. Furthermore, the Seebeck coefficient (S) shown in Figure 6d-f decreases when 406 Ni is added in ZrNiSn, which may be due to the reduction in 407 the effective mass calculated above. In conjunction,  $\sigma/\tau$  and S exhibit increasing  $S^2\sigma/\tau$  (see Figure 6g-i) with increasing 409 temperature in the vicinity of the Fermi energy level, and this 410 behavior is consistent with the experimental observations of 411 the power factor (will be discussed in the following section). 412 However, ZrNi<sub>1.04</sub>Sn exhibits higher  $S^2\sigma/\tau$  than that of ZrNiSn 413 at all temperatures, which may be due to the emergence of IBs 414 between the valence and conduction band edges, which led to 415 the enhanced population of transitions, and thus a large 416 electrical conductivity is expected. Further, ZrNi<sub>1.04</sub>Sn and Gedoped ZrNi<sub>1.04</sub>Sn exhibit more or less similar electronic 417 transport properties at all temperatures, which is analogous to 418 their comparable band structure (Figure 5).

To realize this experimentally, the synthesized samples were 420 characterized for TE properties, and the temperature-depend-421 ent electronic transport properties of all of the synthesized 422 samples  $ZrNi_{1.04}Sn_{1-x}Ge_x$  (x=0-0.04) are shown in Figure 7. 423 f7 The electrical conductivity is given in Figure 7a, which suggests 424 the semiconducting behavior of all of the synthesized samples 425 as the electrical conductivity increases with increasing 426 temperature. We have observed that the  $\sigma$  of  $ZrNi_{1.04}Sn$  is 427 higher than that of the pristine  $ZrNiSn^8$  system in the entire 428 temperature range, which is in agreement with the earlier 429 reports. <sup>14,44</sup> Further, with Ge-doping,  $\sigma$  at RT does not change 430 significantly up to 1.5 atom %, beyond which a noticeable 431 increase has been observed. At high temperatures, all of the 432 Ge-doped samples exhibited enhanced  $\sigma$  compared to their 433

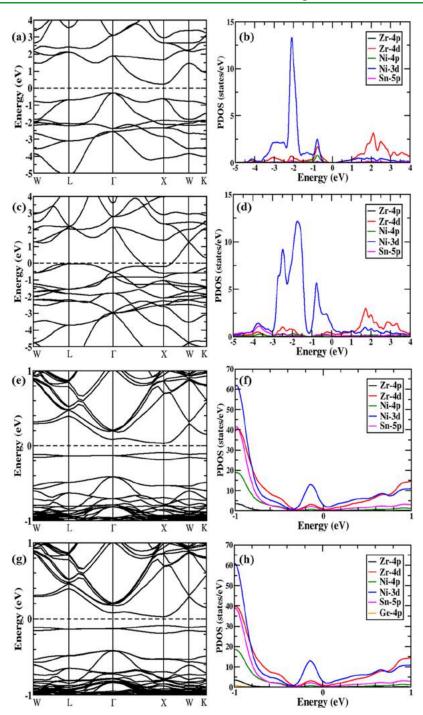


Figure 5. Calculated electronic band structures and projected density of states (PDOS) of (a, b) ZrNiSn, (c, d) ZrNi<sub>2</sub>Sn, (e, f) ZrNi<sub>1.04</sub>Sn, and (g, h) Ge-doped ZrNi<sub>1.04</sub>Sn.

434 pristine counterpart ZrNi<sub>1.04</sub>Sn, and similar observations have 435 been made previously with Ge-doping in ZrNiSn.<sup>8</sup>

Figure 7b exhibits the temperature-dependent Seebeck coefficient of all of the synthesized samples; the negative sign suggests that the electrons are dominant in electrical transport. It is clear from Figure 7b that the S of ZrNi<sub>1.04</sub>Sn is lower than that of ZrNiSn, which is similar to previous reports. 14,44 The Seebeck coefficient at RT was found to be lower in all of the Ge-doped compositions as compared to that of ZrNi<sub>1.04</sub>Sn, and this behavior is in agreement with the previous study of Ge-doping in ZrNiSn. 8

To analyze the electronic transport properties, we have 445 measured room temperature Hall carrier concentration ( $n_{\rm H}$ ) 446 and mobility ( $\mu_{\rm H}$ ) (given in Table 1). Both the  $n_{\rm H}$  and  $\mu_{\rm H}$  of 447 ZrNi<sub>1.04</sub>Sn are higher than that of ZrNiSn. The realized 448 appearance of intermediate bands in the electronic band 449 structure of ZrNi<sub>1.04</sub>Sn (Figure 5e) might be attributed to this 450 enhancement. Furthermore, it can be realized that Ge-doped 451 samples exhibit improved  $n_{\rm H}$  and decreased  $\mu_{\rm H}$  relative to its 452 pristine counterpart ZrNi<sub>1.04</sub>Sn, except for 453 ZrNi<sub>1.04</sub>Sn<sub>0.985</sub>Ge<sub>0.015</sub>. However, the electronic band structure 454 and PDOS of ZrNi<sub>1.04</sub>Sn and Ge-doped ZrNi<sub>1.04</sub>Sn, shown in 455 Figure 5e–f, are more or less similar and do not suggest any 456

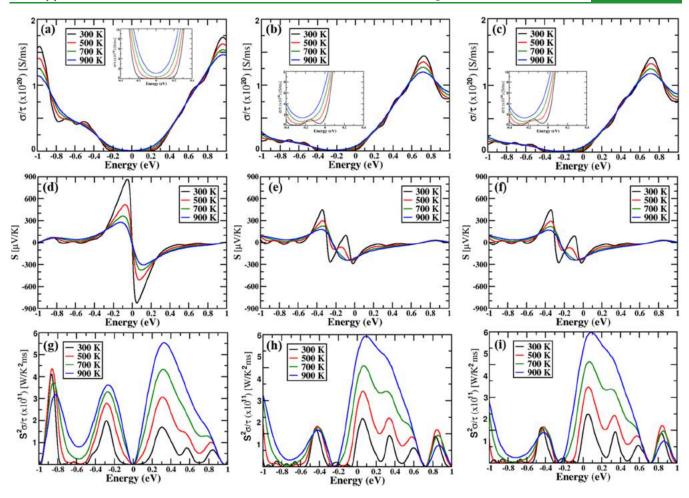


Figure 6. Calculated electronic transport properties of ZrNiSn, ZrNi<sub>1.04</sub>Sn, and Ge-doped ZrNi<sub>1.04</sub>Sn, left to right:  $(a-c) \sigma/\tau$  (inset: magnified from −0.4 to 0.4 eV), (d−f) Seebeck coefficient, and (g−i) power factor, respectively.

457 significant change. Here, it may also be noted that in the 458 previous observation, it has been realized that  $n_{\rm H}$  with Ge-459 doping in ZrNiSn does not change significantly.8 However, in 460 the present study, Ge-doping in  $ZrNi_{1.04}Sn$  affects the  $n_H$ . This anomalous behavior may be attributed to the in situ formation 462 of secondary phases, as discussed above; further, to understand 463 the underlying physics, we have applied the single parabolic 464 band (SPB) model. 45,40

The single parabolic band (SPB) model<sup>45,46</sup> was applied at 466 room temperature. The Pisarenko plot is plotted using the 467 equations based on the SPB model considering scattering by 468 acoustic phonons 45,46

$$S = \frac{k_{\rm B}}{e} \left( \frac{2F_{\rm I}(\eta)}{F_{\rm O}(\eta)} - \eta \right)$$

Chemical carrier concentration,  $n = 4\pi \left(\frac{2m^*k_BT}{h^2}\right)^{3/2} F_{1/2}(\eta)$ Hall carrier concentration,  $n_{\rm H} = \frac{n}{r_{\rm e}}$ , where the Hall factor,

$$r_{\rm H} = \frac{3}{2} F_{1/2}(\eta) \frac{F_{-1/2}(\eta)}{2F_{-1}^2(\eta)}$$

 $r_{\rm H}=\frac{3}{2}F_{1/2}(\eta)\frac{F_{-1/2}(\eta)}{2F_0^2(\eta)}$  where  $k_{\rm B}$  is the Boltzmann constant, e is the electronic charge, Fermi integral,  $F_j(\eta)=\int_0^\infty \frac{e^j\,\mathrm{d}\,\epsilon}{1+\mathrm{Exp}(\epsilon-\eta)}, \ m^*$  is the 474 density of states effective mass, T is the temperature, and h is 475 Planck's constant. Figure 7c shows the calculated Pisarenko 476 plot taking the average effective mass  $m^* = 2.49$  m<sub>e</sub> and

scattering by acoustic phonons. Here, the calculated m\* of all 477 of the samples is in the range  $2.18-2.82~m_e$ . The variation in 478  $m^*$  may be attributed to the formation of secondary phases as 479 the electronic band structure (see Figure 5e-h) does not 480 change with Ge-doping. Therefore, various factors like 481 structural irregularities (in situ secondary phase formation), 482 which cause transport irregularities, scattering mechanism, 483 band nonparabolicity, etc., might play a role in this variation of 484  $m^*.^{45,47,48}$  Further, to clarify the nonmonotonous variation of 485  $\mu_{\rm H}$ , it is plotted with  $n_{\rm H}$  in Figure 7d and also the calculated  $\mu_{\rm H}$  486 from the SPB model 45,46 using the formula, assuming 487 scattering by acoustic phonons and taking the average effective 488 mass  $m^* = 2.49$  m<sub>e</sub> and average  $\mu_0 = 20.34$  cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>. Here, 489 the calculated  $\mu_0$  for each sample using experimental Hall 490 mobility lies in the range 18.16–23.59 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>. As seen in 491 Figure 7d, the experimentally observed  $\mu_{\rm H}$  is closely related to 492 the predicted results with some deviation. This deviation might 493 be due to the various factors involved, like structural 494 irregularities as observed in the form of secondary phases, 495 which cause the transport irregularities, scattering mechanism, 496 variation in effective mass, band nonparabolicity, etc.<sup>27,45,48,49</sup> 497

The temperature-dependent power factor of all of the 498 synthesized samples is shown in Figure 7e. It is clear from 499 Figure 7e that the PF of the ZrNi<sub>1.04</sub>Sn sample is higher than 500 that of the pure ZrNiSn.<sup>8</sup> Further, with simultaneous 501 optimization of electrical conductivity and Seebeck coefficient, 502 we have achieved the maximum  $\alpha$  of  $\sim 4.24 \times 10^{-3} \text{ Wm}^{-1} \text{ K}^{-2}$  503

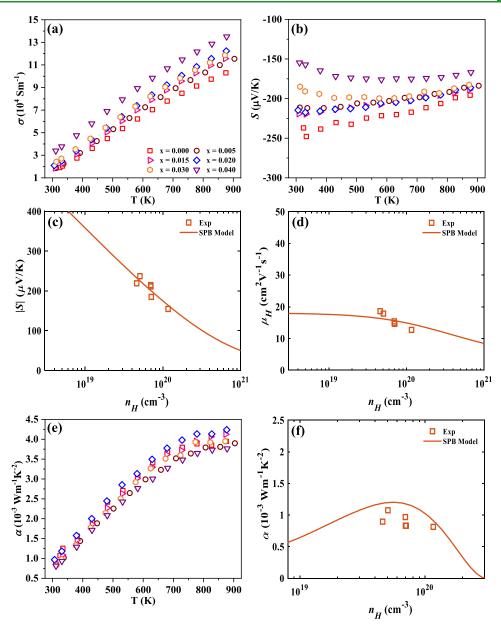


Figure 7. Temperature-dependent experimental (a) electrical conductivity and (b) Seebeck coefficient; (c) Pisarenko plot; (d) Hall mobility prediction based on the single parabolic band (SPB) model at RT; (e) power factor; and (f) power factor prediction based on the SPB model at RT of  $ZrNi_{1.04}Sn_{1-x}Ge_x$  (x = 0-0.04).

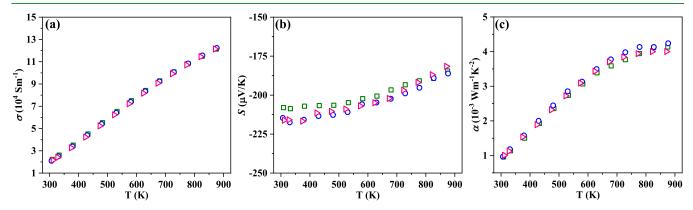


Figure 8. Repeatability measurements of (a) electrical conductivity, (b) Seebeck coefficient, and (c) power factor of ZrNi<sub>1,04</sub>Sn<sub>0,98</sub>Ge<sub>0,02</sub>.

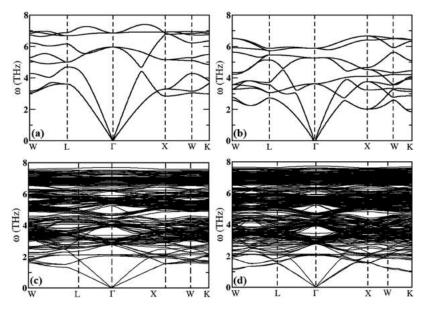


Figure 9. Phonon band structures of (a) ZrNiSn, (b) ZrNi<sub>2</sub>Sn, (c) ZrNi<sub>1,04</sub>Sn, and (d) Ge-doped ZrNi<sub>1,04</sub>Sn.

504 at  $\sim$ 873 K in ZrNi<sub>1.04</sub>Sn<sub>0.98</sub>Ge<sub>0.02</sub>. Figure 7f exhibits the 505 experimental power factor at RT plotted against  $n_{\rm H}$  and 506 compared with the power factor calculated by the SPB 507 model<sup>45,46</sup> taking an average effective mass of 2.49 m<sub>e</sub> and an 508 average  $\mu_0$  of 20.34 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup> and considering scattering by 509 acoustic phonons. As seen in Figure 7f, the Hall carrier 510 concentration is in the optimum range, and there is still scope 511 for improvement of the power factor as predicted by a slightly 512 higher  $\alpha$  by the SPB model.

Further, to check the stability of the material, we have s14 repeated the measurements of the temperature-dependent s15 electronic transport properties of the same sample S16  $ZrNi_{1.04}Sn_{0.98}Ge_{0.02}$  three times, which is given in Figure 8. S17 From Figure 8, it is clear that the observed results are s18 consistent in each repeated measurement cycle, which suggests s19 that the material is thermally stable.

Now, to understand the thermal transport behavior and 521 dynamical stability, we have performed the phonon calcu-522 lations of the ZrNiSn-HH, ZrNi<sub>2</sub>Sn-FH, ZrNi<sub>1.04</sub>Sn, and Ge-523 doped-ZrNi $_{1.04}$ Sn system. The phonon dispersion curves along 524 the high symmetry path  $W-L-\Gamma-X-W-K$  in the Brillouin 525 zone are shown in Figure 9. From Figure 9a, it is clear that the 526 pristine ZrNiSn system shows positive phonon frequencies in a 527 different direction of the BZ, confirming the absence of soft 528 modes or imaginary frequencies, which indicates that the 529 ZrNiSn system is stable and is consistent with the other 530 reports. 3,17,50 The phonon dispersion curve of ZrNi<sub>2</sub>Sn-FH is 531 also shown in Figure 9b, which indicates its stability. Our 532 investigation demonstrates that there are three acoustical and 533 six optical phonon branches in the case of ZrNiSn-HH; 534 however, for ZrNi<sub>2</sub>Sn-FH the three acoustic and nine optical 535 branches appear. Looking at the phonon band structure of 536 both ZrNiSn and ZrNi<sub>2</sub>Sn, it is clear that the transverse 537 acoustic as well as transverse optical modes are degenerate in 538 the direction  $L-\Gamma-X$ . Along the paths L-W and X-W-X, the 539 degeneracy of transverse modes breaks and splitting in modes 540 occur as clearly can be seen in both acoustic as well as optical 541 branches. A small frequency gap is present between the 542 acoustic and optical branches of ZrNiSn; however, this gap 543 closes in the case of ZrNi<sub>2</sub>Sn, and another separation in

frequency appears in the upper and lower three optical modes. 544 A similar type of behavior was realized in the previous study. 1 Furthermore, the incorporation of a small amount of Ni and 546 Ge into the ZrNiSn system introduces lattice distortion, which 547 may deviate its phonon stability. To check it, we also carried 548 out phonon calculations of ZrNi<sub>1.04</sub>Sn and Ge-doped 549 ZrNi<sub>1.04</sub>Sn, as illustrated in Figure 9c,d, respectively. From 550 Figure 9c-d, it is clear that no negative phonon frequency 551 modes appear in our calculated phonon band structure, which 552 shows that ZrNi<sub>1.04</sub>Sn and Ge-doped ZrNi<sub>1.04</sub>Sn systems are 553 phonically stable. Since a large cell is used to calculate the 554 phonon dispersion of ZrNi<sub>1.04</sub>Sn and Ge-doped ZrNi<sub>1.04</sub>Sn, a 555 large number of bands were obtained, and it is difficult to 556 identify the characteristics of these bands. However, it can be 557 observed that the degeneracy of transverse acoustic modes is 558 maintained along the path  $L-\Gamma-X$  in the phonon dispersion of 559 ZrNi<sub>1.04</sub>Sn as observed in ZrNiSn-HH and ZrNi<sub>2</sub>Sn-FH 560 compounds. However, in the Ge-doped ZrNi<sub>1.04</sub>Sn, the 561 degeneracy of transverse acoustic modes seems to be broken 562 along the path  $L-\Gamma-X$ , which might be due to the introduced 563 imperfection via the mass difference of Ge and Sn-atom.

For pristine ZrNiSn-HH, formation energy can be computed 565 by subtracting its total energy from the total energy of 566 constituent atoms. Our calculated formation energy of ZrNiSn- 567 HH is -2.13 eV/f.u., which agrees with earlier calculations. 568 The negative value of formation energy indicates that the 569 system follows an exothermic chemical reaction while growing 570 the sample. Further to understand the enthalpy of formation 571 ( $\Delta H_{\rm f}$ ) of alloys, 44 we used the following equation 572

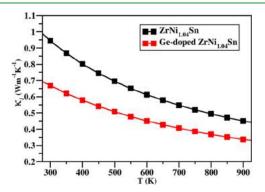
$$\Delta H_{\rm f} = \frac{1}{(k+l+m+n)} \left[ E(Zr_k Ni_l Sn_m Ge_n) - k \left( \frac{E(Zr)}{p} \right) - l \left( \frac{E(Ni)}{q} \right) - m \left( \frac{E(Sn)}{r} \right) - n \left( \frac{E(Ge)}{s} \right) \right]$$

where  $E(Zr_kNi_lSn_mGe_n)$ ,  $\left(\frac{E(Zr)}{p}\right)$ ,  $\left(\frac{E(Ni)}{q}\right)$ ,  $\left(\frac{E(Sn)}{r}\right)$ , and  $\left(\frac{E(Ge)}{s}\right)$  573 are the total energies of systems, while k, l, m, and n are the 574 total number of atoms of Zr, Ni, Sn, and Ge in the supercell 575 used. The p, q, r, and s denote the Zr, Ni, Sn, and Ge atoms in 576

f10

577 defective cells. In the case of Ni excess ZrNiSn and Ge-doped 578 ZrNi<sub>1.04</sub>Sn, the formation of the composition following an 579 exothermic chemical reaction with negative values of  $\Delta H_{\rm f}$  of 580 -0.697 and -0.701 meV, respectively. The  $\Delta H_{\rm f}$  for Ni excess 581 ZrNiSn is greater than Ge-doped ZrNi<sub>1.04</sub>Sn, which indicates 582 that the excess of Ni demands smaller energy for the formation 583 of compound in comparison to Ge-doping into ZrNi<sub>1.04</sub>Sn. 584 However, the energy difference between them is of a small 585 order of meV. One of the reasons for changes in the followed 586 chemical reaction can be understood by the energy differences 587 between HH and FH compounds. We have predicted that the 588 energy difference between ZrNiSn-HH and ZrNi<sub>2</sub>Sn-FH 589 compounds is equal to 0.29 eV/atom. Our calculated  $\Delta H_{\rm f}$ 590 may act as a representative and can provide a trend of the 591 followed chemical reaction for the growth of compounds. However,  $\Delta H_f$  further depends on several parameters like Ni or Ge nearest neighboring site, the use exchange-correlation 594 functional for computation, etc.

Furthermore, we have extended our calculation to calculate the lattice thermal conductivity of ZrNi<sub>1.04</sub>Sn and Ge-doped ZrNi<sub>1.04</sub>Sn, as shown in Figure 10. It is observed that the lattice



**Figure 10.** Temperature-dependent calculated lattice thermal conductivity of ZrNi<sub>1.04</sub>Sn and Ge-doped ZrNi<sub>1.04</sub>Sn.

598 thermal conductivity of both compounds decreases with 599 temperature. And it is predicted that Ge-doping in ZrNi<sub>1.04</sub>Sn 600 reduces lattice thermal conductivity throughout the temper-601 ature range.

Next, the experimental thermal conductivity was calculated by employing the relation  $\kappa = D\rho C_p$ , where D is the measured thermal diffusivity,  $C_p$  is the specific heat, and  $\rho$  represents room temperature density. The temperature-dependent thermal conductivity of all of the synthesized samples is shown in Figure 11a. Figure 11a shows a significant reduction of thermal conductivity in  $ZrNi_{1.04}Sn$  compared to the  $ZrNiSn^8$  in the entire temperature range. Further, it is observed that the thermal conductivity is increased with Ge-doping at RT, except for 1.5 and 2.0 atom % Ge-doped  $ZrNi_{1.04}Sn$  samples. However, the behavior of thermal conductivity with temperature does not follow any specific trend in all of the samples. Furthermore, it is clear from Figure 11a that the thermal conductivity of  $ZrNi_{1.04}Sn_{0.98}Ge_{0.02}$  is the lowest among all of the samples in the entire temperature range.

To understand the thermal conductivity in more detail, we have separated lattice ( $\kappa_{\rm l}$ ) and electronic thermal conductivity for which  $\kappa_{\rm e}$  was calculated using the relation  $\kappa_{\rm e}=L\sigma T$ , known as the Wiedemann–Franz law, where the Lorenz number L was

calculated using the SPB model considering scattering by 622 acoustic phonons 45,46 623

$$L = \left(\frac{k_{\rm B}}{e}\right)^2 \frac{3F_0(\eta)F_2(\eta) - 4F_1^2(\eta)}{F_0^2(\eta)}$$

Electronic thermal conductivity is given in the inset of Figure 624 11b, which shows an increasing trend with increasing 625 temperature, and exhibits high  $\kappa_{\rm e}$  in ZrNi<sub>1.04</sub>Sn with respect 626 to ZrNiSn.<sup>8</sup> The enhancement in  $\kappa_{\rm e}$  of all of the Ge-doped 627 ZrNi<sub>1.04</sub>Sn samples at ~873 K is obtained relative to that of 628 ZrNi<sub>1.04</sub>Sn.

Figure 11b represents the lattice thermal conductivity  $\kappa_{\rm b}$  630 which decreases with temperature for all of the samples. 631 Initially, it decreases slowly, and then above ~650 K, a 632 significant reduction is observed. Further, the lattice thermal 633 conductivity of ZrNi<sub>1.04</sub>Sn is lower than ZrNiSn,<sup>8</sup> which may 634 be attributed to the scattering of phonons having a wide range 635 of wavelength via all scale hierarchical structural defects, 636 including the grain boundary of the HH matrix and secondary 637 phases, as well as point defects that emerged due to interstitial 638 Ni defects. Further, Ge-doped samples exhibit high  $\kappa_1$  at RT 639 except for two samples having Ge concentrations of 1.5 and 2.0 640 atom %. At a high temperature of ~873 K, 0.5 atom % Ge- 641 doped sample also exhibits lower  $\kappa_1$  along with 1.5 and 2.0 642 atom %, when compared with ZrNi<sub>1.04</sub>Sn. This kind of 643 abnormal behavior has also been previously observed. 40,51 Here, it is worth noting that we have observed quite different 645 results from that expected. In general, it is assumed that the 646 inclusion of impurity atoms improves phonon scattering due to 647 generated point defects. However, in our observations referring 648 to the entire measured temperature range, we found high  $\kappa_{\rm l}$  of 649 samples with 3.0 and 4.0 atom % Ge-doping than that of 650 ZrNi<sub>1.04</sub>Sn. Here, this anomalous behavior might be due to the 651 variation in the existing volume fraction of secondary phases, 652 which are metallic in nature. <sup>40,51</sup> Further, these results are not 653 in good accordance with theoretical lattice thermal con- 654 ductivity shown in Figure 10, as theoretical calculations have 655 been carried out without introducing secondary phases.

The temperature-dependent figure of merit, ZT, of all of the 657 synthesized samples is shown in Figure 11c. It is clear from 658 Figure 11c that the ZT of  $ZrNi_{1.04}Sn$  reaches up to 0.78 from 659 0.64 of  $ZrNiSn^8$  at  $\sim 873$  K. Here, it is worth emphasizing that 660 we have made this comparison due to a similar synthesis route 661 adopted for the synthesis of  $ZrNi_{1.04}Sn$  and  $ZrNiSn^8$  and the 662 ZT values for ZrNiSn are very close to that reported in the 663 available literature. <sup>12,52,53</sup> However, Gürth et al. <sup>54</sup> have 664 achieved a ZT of  $\sim 1$  at  $\sim 825$  K in ZrNiSn processed 665 employing a multistep route. Further, the maximum ZT of 666  $\sim 1.06$  at  $\sim 873$  K is achieved for the composition 667  $ZrNi_{1.04}Sn_{0.98}Ge_{0.02}$ . The comparison of the ZT with some of 668 the reported state-of-the-art ZrNiSn-based materials is given in 669 Figure 11d.

In addition to the TE properties, the mechanical properties 671 of the material are equally important for the uninterrupted 672 operation of the TE device. Therefore, we have also measured 673 the microhardness as well as fracture toughness of all of the 674 samples. The microhardness and fracture toughness of all of 675 the synthesized samples along with the standard deviation is 676 shown in Figure 12. The fracture toughness is calculated using 677 ft2 a basic half penny model based on Vickers indentation, 678  $K_c = 0.0752 \frac{P}{c^{3/2}}$ , where  $K_c$  denotes the fracture toughness, P is 679 the indenter load, and c represents the average length of cracks 680

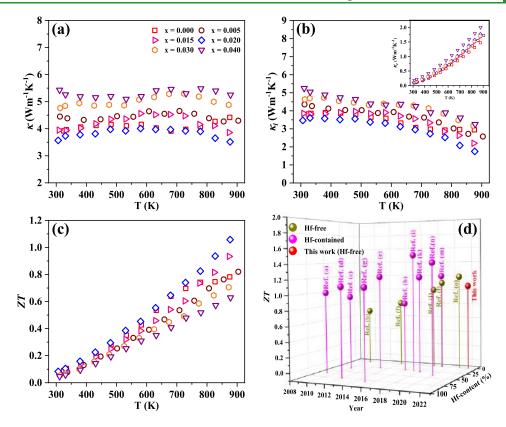
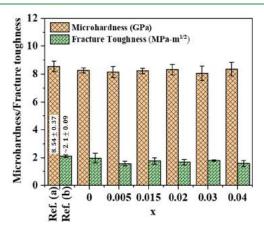


Figure 11. Temperature-dependent experimental (a) thermal conductivity, (b) lattice thermal conductivity (inset: electronic thermal conductivity), and (c) figure-of-merit (ZT) of  $ZrNi_{1.04}Sn_{1-x}Ge_x$  (x = 0-0.04); (d) comparison of the ZT of  $ZrNi_{1.04}Sn_{0.98}Ge_{0.02}$  (This work) with the literature; ref (a), <sup>26</sup> ref (b), <sup>62</sup> ref (c), <sup>63</sup> ref (d), <sup>64</sup> ref (e), <sup>65</sup> ref (f), <sup>66</sup> ref (g), <sup>27</sup> ref (h), <sup>67</sup> ref (i), <sup>68</sup> ref (j), <sup>52</sup> ref (k), <sup>69</sup> ref (l), <sup>12</sup> ref (m), <sup>53</sup> ref (n), <sup>44</sup> ref (o).



**Figure 12.** Microhardness and fracture toughness along with their standard deviation of  $ZrNi_{1.04}Sn_{1-x}Ge_x$  (x = 0-0.04), and comparison with ref (a)<sup>56</sup> and ref (b).<sup>57</sup>

681 from the center of indentation.<sup>55</sup> In Figure 12, the results 682 exhibit that all of the samples show a minor difference in 683 microhardness as well as fracture toughness with respect to 684 each other, and their values lie in the range 7.5–8.9 GPa for 685 microhardness and 1.3–2.3 MPa-m<sup>1/2</sup> for fracture toughness, 686 which are in good agreement with previously reported values 687 of microhardness<sup>56</sup> and fracture toughness.<sup>57</sup> The microhardness and fracture toughness of the presented HH materials are 689 higher than those of other TE materials.<sup>58–61</sup> Here, it is worth 690 noting that the TE performance of ZrNiSn is increased in this 691 work without penalizing mechanical properties.

# 5. CONCLUSIONS

In summary, two combined approaches of off-stoichiometry 692 (Ni-excess) and isoelectronic Ge-doping were simultaneously 693 applied in ZrNiSn. In ZrNiSn, Ni-concentration was fixed at 694 1.04, and Ge-concentration was varied. We realized secondary 695 binary phase formation along with FH in ZrNiSn HH. Due to 696 interstitial Ni, which adds intermediate bands in the band gap 697 of ZrNiSn, and other secondary metallic phases, a maximum 698 power factor of  $\sim$ 4.24  $\times$  10<sup>-3</sup> Wm<sup>-1</sup> K<sup>-2</sup> at  $\sim$ 873 K was 699 achieved in ZrNi<sub>1.04</sub>Sn<sub>0.98</sub>Ge<sub>0.02</sub>. Collectively, due to the 700 minimal lattice thermal conductivity of  $\sim$ 1.75 Wm<sup>-1</sup> K<sup>-1</sup> 701 and high power factor, a high ZT value of  $\sim$ 1.06 at  $\sim$ 873 K 702 was attained in ZrNi<sub>1.04</sub>Sn<sub>0.98</sub>Ge<sub>0.02</sub>. Further, the first-703 principles-based calculations provide significant insights into 704 experimental measurements.

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#### 743 Author Contributions

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744 K.K.J.: material synthesis, characterization, data analysis, and 745 preparation of the first draft of the manuscript; D.K.S.: 746 theoretical calculations and analysis; A.K.V.: mechanical 747 properties (microhardness, fracture toughness); R.B.: material 748 synthesis and characterization; N.S.C.: formal analysis; S.K.: 749 theoretical calculations and analysis; M.N.S.: synchrotron XRD 750 measurement; S.B.: FESEM imaging (higher magnification); 751 and B.G.: conceptualization, visualization, review, and editing. 752 **Notes** 

753 The authors declare no competing financial interest.

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